BEFORE THE DIVISION OF MEDICAL QUALITY BOARD OF MEDICAL QUALITY ASSURANCE DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

| In the Matter of the Accusation Against: | } | |
|--------------------------------------------------|---|------------|
| VERNON D. RICKARD, M.D. Certificate No. G-26114, |) | NO. D-3081 |
| Respondent. | } | |

DECISION

The attached Stipulation is hereby adopted by the Division of Medical Quality of the Board of Medical Quality Assurance as its Decision in the above-entitled matter.

| This Decision sha | all become | effective on _ | June | 18,_1984 |
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| IT IS SO ORDERED | Mav 18 | . 1984 | | |

DIVISION OF MEDICAL QUALITY BOARD OF MEDICAL QUALITY ASSURANCE

MILLER MEDEARIS Secretary-Treasurer

| 1 | JOHN K. VAN DE KAMP, Attorney General | | | | |
|----|-------------------------------------------------------------------------------------|--|--|--|--|
| 2 | of the State of California STEVEN M. KAHN | | | | |
| 3 | Deputy Attorney General 1515 K Street, Suite 511 | | | | |
| 4 | Sacramento, California 95814 Telephone: (916) 324-5338 | | | | |
| 5 | Attorneys for Complainant | | | | |
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| 8 | BEFORE THE | | | | |
| 9 | DIVISION OF MEDICAL QUALITY BOARD OF MEDICAL QUALITY ASSURANCE | | | | |
| 10 | DEPARTMENT OF CONSUMER AFFAIRS | | | | |
| 10 | STATE OF CALIFORNIA | | | | |
| 11 | In the Matter of the Accusation) No. D-3081 | | | | |
| 12 | Against:) | | | | |
| 13 | VERNON D. RICKARD, M.D.) STIPULATION, DECISION 183 South Fairview Lane) AND ORDER | | | | |
| 14 | Sonora, California 95370) | | | | |
| | Physician's and Surgeon's) | | | | |
| 15 | Certificate No. G 026114) | | | | |
| 16 | Respondent.) | | | | |
| 17 |) | | | | |

IT IS HEREBY STIPULATED by the parties to the above entitled matter that the following is true:

- 1. Respondent Vernon D. Rickard, M.D. (hereinafter "respondent") was heretofore issued physician's and surgeon's certificate number G 026114 under the laws of the State of California, and that at all times herein mentioned, said certificate was, and now is, in full force and effect.
- 2. On or about July 18, 1983, an accusation bearing number D-3081 was filed by Stephen R. Wilford, Acting Executive Director of the Board of Medical Quality Assurance of the State

of California, in his official capacity as such. Said accusation listed causes for disciplinary action against respondent,
and said accusation is incorporated herein by reference as
though fully set forth at this point. Said respondent was duly
and properly served with accusation number D-3081 by certified
mail, and said respondent filed a timely notice of defense
requesting a hearing on the charges contained in the accusation.

- Respondent has retained as his counsel John F. Moulds, Esquire. Respondent has fully discussed with his counsel the charges and allegations of violations of the California Business and Professions Code alleged in accusation number D-3081 and has been fully advised of his rights under the Administrative Procedure Act of the State of California, including his right to a formal hearing and opportunity to defend against the charges contained therein, and reconsideration and appeal of any adverse decision that might be rendered following said Said respondent knowingly and intelligently waives his right to a hearing, reconsideration, appeal, and to any and all other rights which may be accorded him pursuant to the Administrative Procedure Act of the charges contained in accusation number D-3081 subject, however, to the provisions of paragraph 6 herein.
- 4. For purposes of any proceedings between respondent and the Division of Medical Quality (hereinafter "Division") and for no other purposes, respondent admits that the following facts are true:

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Commencing on or about September 2, 1981, respondent saw Serentity E., age 8, at his offices in Sonora, California.

- On or about September 11, 1981, respondent performed allergy tests on Serentity E. During the course of said testing, respondent administered Dilaudid and Valium to said patient, after which Serentity E.'s respiration became severely depressed and she required emergency resuscitation. Respondent's use of said drugs for Serentity E. on or about September 11, 1981, constitutes incompetence.
- During the period from on or about September 2, 1981, through at least March 1982, respondent tested and treated Serentity E. for a variety of purported allergies. Respondent was incompetent in his testing and treatment of these allergies.
- Pursuant to the facts admitted hereinabove, respondent admits that his physician's and surgeon's certificate is subject to disciplinary action pursuant to sections 2220 and 2234 of the Business and Professions Code in that he has violated Business and Professions Code section 2234, subdivision (d).
- 6. In the event that this stipulation, decision, and order is not accepted and adopted by the Division of Medical Quality of the Board of Medical Quality Assurance of the State of California, the admissions and characterizations of law and fact made by all parties herein shall be null, void, and inadmissible in any proceeding involving the parties to it.

WHEREFORE, it is stipulated that the Division of Medical Quality of the Board of Medical Quality Assurance may issue the following decision and order:

Physician's and surgeon's certificate number G 026114 issued to respondent Vernon D. Rickard, M.D. is hereby revoked, provided, however, that said revocation shall be stayed and respondent shall be placed upon probation for a period of five (5) years upon the following terms and conditions:

I(A). Prior to the effective date of this decision, respondent shall take and pass an oral clinical examination in internal medicine to be given by the Division or its designee. If respondent fails this examination, he shall cease the practice of medicine until he passes it, and must wait three months between re-examinations, except that after three failures respondent must wait one year to take each necessary re-examination thereafter. The Division shall pay the cost of the first examination, and respondent shall pay the costs of any subsequent examinations.

(B). Respondent shall not practice medicine after the effective date of this decision unless and until he has passed the examination and has been so notified by the Division in writing. However if the examination is not given until after the effective date of the decision, and where any delay is not the fault of respondent, he shall be permitted to continue the practice of medicine until the examination is given and until he is notified that he has failed the examination. Upon said notification, he shall cease practicing medicine until he passes the examination.

2. Commencing on the effective date of the decision, respondent shall be prohibited from engaging in the diagnosis and treatment of allergies. Said prohibition shall continue until respondent complies with and completes each of the following conditions:

- (A). Within 60 days of the effective date of this decision, respondent shall submit to the Division or its designee for its prior approval, an intensive clinical training program in conventional allergy and immunology of not less than six months duration.
- (B). Upon completion of the intensive clinical training program and within 90 days thereafter, respondent shall take and pass an oral clinical examination in conventional allergy and immunology to be administered by the Division or its designee. If respondent fails this examination, respondent must wait three months between re-examinations, except that after three failures respondent must wait one year to take each necessary re-examination thereafter. The Division shall pay the cost of the first examination and respondent shall pay the costs of any subsequent examinations.
- (C). Upon successful completion of the conditions described in paragraphs 2(A) and 2(B) above, respondent shall be permitted to resume the diagnosis and treatment of allergies by conventional means, provided, however, that:
- i) Prior to resuming such practice, respondent shall submit to the Division or its designee for its prior approval, his diagnosis and treatment protocols for allergy patients. The

Division or its designee shall not unreasonably withhold such approval.

ii) Respondent shall be prohibited from the use of the allergy diagnostic and treatment modalities intradermal symptom suppressant technique AKA provocative and neutralization; sublingual drop therapy AKA provocative technique; and any form of cytotoxic testing of allergies.

In the event that said prohibited modalities are determined by the Division to be acceptable for clinical usage, respondent may petition the Division for modification of his probation.

- 3. Commencing on the effective date of the decision, and except as set forth in paragraph 3(C) below, respondent shall be prohibited from administering or furnishing any controlled substances in an office or hospital until he complies with and completes each of the following conditions:
- (A). Within 60 days of the effective date of this decision, respondent shall submit to the Division or its designee for its prior approval, an educational program or courses relating to the use of controlled substances of not less than forty (40) hours. This program shall be in addition to the Continuing Medical Education requirements for re-licensure.
- (B). Upon completion of this educational program and within 90 days thereafter, respondent shall take and pass an oral clinical examination in the use of such drugs to be administered by the Division or its designee. If respondent fails this examination, respondent must wait three months between re-

examinations, except that after three failures respondent must wait one year to take each necessary re-examination thereafter. The Division shall pay the cost of the first examination and respondent shall pay the costs of any subsequent examinations.

- (C). In an emergency situation, respondent may administer or furnish controlled substances in an office or hospital. Within 15 days of each such occurrence, respondent shall send to the Division an explanation of such occurrence which shall include all of the information described in paragraph 4 below. Respondent is not prohibited from writing prescriptions for controlled substances for out-patients.
- 4. Respondent shall maintain a record of all controlled substances prescribed, dispensed or administered by respondent during probation, showing all the following: 1) the name and address of the patient, 2) the date, 3) the character and quantity of controlled substances involved, and 4) the pathology and purpose for which the controlled substance was furnished.

Respondent shall make such records available for inspection and copying by the Division or its designee, upon request.

- 5. Respondent shall obey all federal, state and local laws and all rules governing the practice of medicine in California.
- 6. Respondent shall submit quarterly declarations under penalty of perjury on forms provided by the Division, stating whether there has been compliance with all the conditions of probation.

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7. Respondent shall comply with the Division's probation surveillance program.

8. Respondent shall appear in person for interviews with the Division's medical consultant upon request at various intervals and with reasonable notice.

9. In the event respondent should leave California to reside or to practice outside the State, respondent must notify in writing the Division of the dates of departure and return. Periods of residency or practice outside California will not apply to the reduction of this probationary period.

Upon successful completion of probation, respondent's certificate will be fully restored. If respondent violates probation in any respect, the Division, after giving respondent notice and the opportunity to be heard, may revoke probation and carry out the disciplinary order that was stayed. If an accusation or petition to revoke probation is filed against respondent during probation, the Division shall have continuing jurisdiction until the matter is final, and the period of probation shall be extended until the matter is final.

DATED: February 21, 1974

JOHN K. VAN DE KAMP, Attorney General of the State of California STEVEN M. KAHN

Deputy Attorney General

STEVEN M. KAHN

Deputy Attorney General

Attorneys for Complainant

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1 DATED: 2 F. MOULDS, ESO. 3 //Attorney at Law 4 Attorney for Respondent Vernon D. Rickard, M.D. 5 6 I HAVE READ the stipulation, decision, and order. 7 understand I have the right to a hearing on the charges con-8 tained in the accusation, the right to cross-examine witnesses, 9 and the right to introduce evidence in mitigation. I have dis-10 cussed this stipulation and the charges contained in the accu-11 sation with my counsel and my rights to hearing and defense. 12 knowingly and intelligently waive all of these rights, and under-13 stand that by signing this stipulation, I am permitting the 14 Division of Medical Quality to impose discipline against my 15 I understand the terms and ramifications of the stipu-16 17 lation, decision, and order, agree to be bound by its terms. DATED: 18 19 VERNON D. RICKARD, 20 Respondent 21 22 /// 23 /// 24 /// 25 ///

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JOHN K. VAN DE KAMP, Attorney General 1 of the State of California 2 STEVEN M. KAHN Deputy Attorney General 3 1515 K Street, Suite 511 Sacramento, California 95814 Telephone: (916) 324-5338 Attorneys for Complainant 5 6 7 8 BEFORE THE DIVISION OF MEDICAL QUALITY 9 BOARD OF MEDICAL QUALITY ASSURANCE DEPARTMENT OF CONSUMER AFFAIRS 10 STATE OF CALIFORNIA In the Matter of the Accusation 11 No. D-3081 Against: 12 VERNON D. RICKARD, M.D. ACCUSATION 13 183 South Fairview Lane Sonora, California 95370 14 Physician's and Surgeon's 15 Certificate No. G 026114 16 Respondent. 17

Stephen R. Wilford, the complainant herein, alleges as follows:

- l. At the time of executing and filing the within pleading, the complainant was, and now is, the Acting Executive Director for the Board of Medical Quality Assurance of the State of California and makes and files this accusation in his official capacity as such and not otherwise.
- 2. On or about December 3, 1973, respondent Vernon D. Rickard, M.D. (hereinafter "respondent"), was issued Physician's and Surgeon's Certificate No. G 026114 under the laws of the

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State of California. Said certificate is presently in full force and effect.

- 3. Section 2234 of the Business and Professions Code (hereinafter "the Code") provides that the Division of Medical Quality of the Board of Medical Quality Assurance shall take action against a holder of a physician's and surgeon's certificate who is guilty of unprofessional conduct.
- 4. Section 2234, subdivision (b), of the Code provides that gross negligence constitutes unprofessional conduct.
- 5. Section 2234, subdivision (d), of the Code provides that incompetence constitutes unprofessional conduct.
- 6. Section 2234, subdivision (c), of the Code provides that repeated similar negligent acts constitutes unprofessional conduct.

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SERENTITY E.

- 7. Respondent is subject to disciplinary action pursuant to section 2234 of the Code in that he is guilty of unprofessional conduct in violation of section 2234, subdivisions (b) and (d), as more particularly alleged hereinafter:
- A. Commencing on or about September 2, 1981, respondent saw Serentity E., age 8, at his offices in Sonora, California.
- B. On or about September 11, 1981, respondent performed allergy tests on Serentity E. During the course of said testing, respondent administered Dilaudid and Valium to

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said patient, after which Serentity E.'s respirations became severely depressed and she required emergency resuscitation. Respondent's use of said drugs for Serentity E. on or about September 11, 1981, constitutes gross negligence and incompetence.

During the period from on or about September 2, 1981, through at least March 1982, respondent tested and treated Serentity E. for a variety of purported allergies. Respondent was negligent and incompetent in that his testing and treatment of these allergies was by experimental and unproven techniques.

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JEREMY H.

- 8. Respondent is further subject to disciplinary action pursuant to section 2234 of the Code in that he is guilty of unprofessional conduct in violation of section 2234, subdivisions (b) and (d) as more particularly alleged hereinafter:
- Commencing on or about December 14, 1979, respondent saw patient Jeremy H., age 35, in his offices in Sonora, California.
- On or about May 5, 1980, respondent performed allergy tests on Jeremy H. During the course of said testing, respondent administered Dilaudid to Jeremy H., after which said patient's respiration became severely depressed. use of Dilaudid for Jeremy H. on or about May 5, 1980, constitutes gross negligence and incompetence.
- On or about January 27, 1981, respondent performed allergy tests on Jeremy H. During the course of said testing,

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respondent administered Dilaudid to said patient, after which Jeremy H.'s respiration became severely depressed. Respondent's use of Dilaudid for Jeremy H. on or about January 27, 1981, constitutes gross negligence and incompetence.

During the period from on or about March 10, 1980, D. through at least April 1981, respondent tested and treated Jeremy H. for a variety of purported allergies. Respondent was negligent and incompetent in that his testing and treatment of allergies was by experimental and unproven techniques.

III

BRIAN M.

- Respondent is further subject to disciplinary 9. action pursuant to section 2234 of the Code in that he is guilty of unprofessional conduct in violation of section 2234, subdivisions (b) and (d) as more particularly alleged hereinafter:
- Commencing on or about April 22, 1980, respondent saw Brian M., age 5, at his offices in Sonora, California.
- On or about May 21, 1980, respondent performed allergy tests on Brian M. During the course of said testing, respondent administered Dilaudid to Brian M., after which said patient's respiration became severely depressed. use of Dilaudid for Brian M. on or about May 21, 1980, constitutes gross negligence and incompetence.
- During the period from on or about May 21, 1930, through at least July 1980, respondent tested and treated Brian M. for a variety of purported allergies. Respondent was negligent and incompetent in that his testing and treatment of

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allergies was by experimental and unproven techniques.

- 10. Respondent is further subject to disciplinary action pursuant to section 2234 of the Code in that he is guilty of unprofessional conduct within the meaning of section 2234, subdivision (c), as more particularly alleged hereinafter:
- A. Paragraphs 7A, 7B, 7C, 8A, 8B, 8C, 9A, 9B and 9C hereinabove are incorporated herein by reference as though fully set forth at this point.
- B. Respondent's use of Dilaudid for said patients constitutes repeated similar negligent acts.
- C. Respondent's use of experimental and unproven techniques to test and treat purported allergies of said patients constitutes repeated similar negligent acts.

WHEREFORE, complainant prays that the Division of Medical Quality hold a hearing on the matters alleged herein and following said hearing issue a decision:

- 1. Suspending or revoking the license issued to respondent Vernon Rickard, M.D.; and
- 2. Taking such other and further action as is deemed proper.

DATED: July 15, 1983

STEPHEN K. WILFORD

Acting Executive Director

Board of Medical Quality Assurance

Department of Consumer Affairs

State of California

Complainant

03573110-SA83AD0006